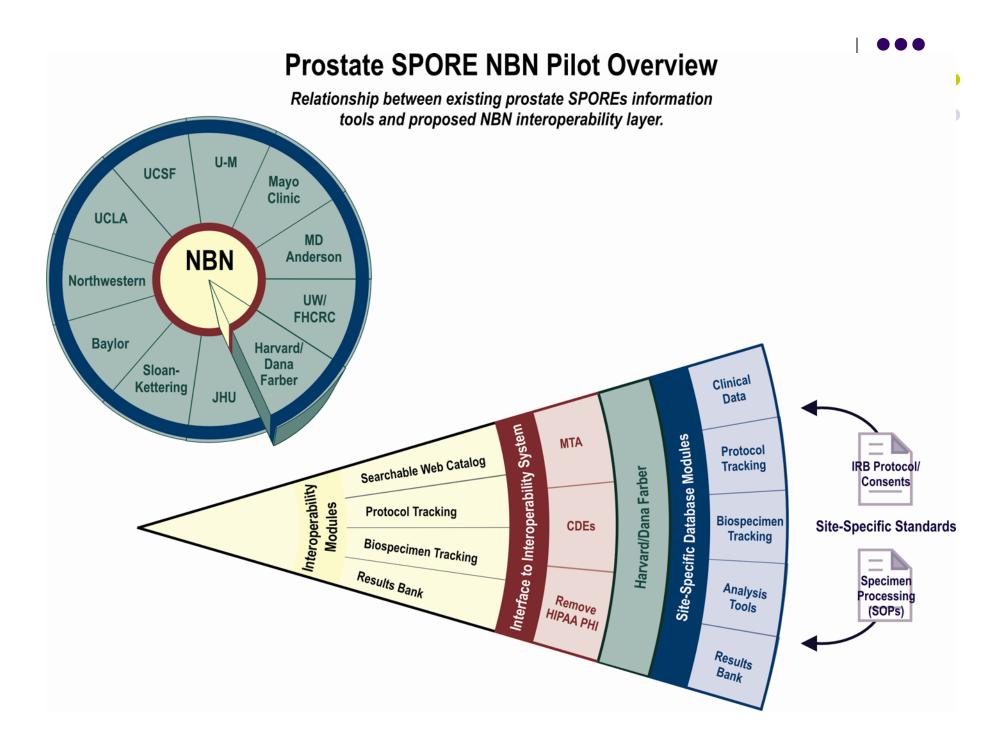
IPBS / NBN Informatics Needs and Vision

Paul Fearn

Memorial Sloan-Kettering Cancer Center





IPBS / NBN Standards / Requirements



NBN

- Standard NBN protocol / consent template
- Standard operating procedures template
- Standard MTA template

Site-Specific

- "Standardized" NBN protocol / consent
- "Standardized" operating procedures (SOPs)
- "Standardized" MTA
- IPBS protocol review

Site-Specific IPBS / NBN Informatics Requirements



- Track screening/eligibility (optional)
- Track IPBS registrations/accruals
 - Consents
 - PHI at local site
- Clinical data
 - Clinical CDEs
 - Records of biospecimen collection
 - Longitudinal follow-up

Site-Specific IPBS / NBN Informatics Requirements, II

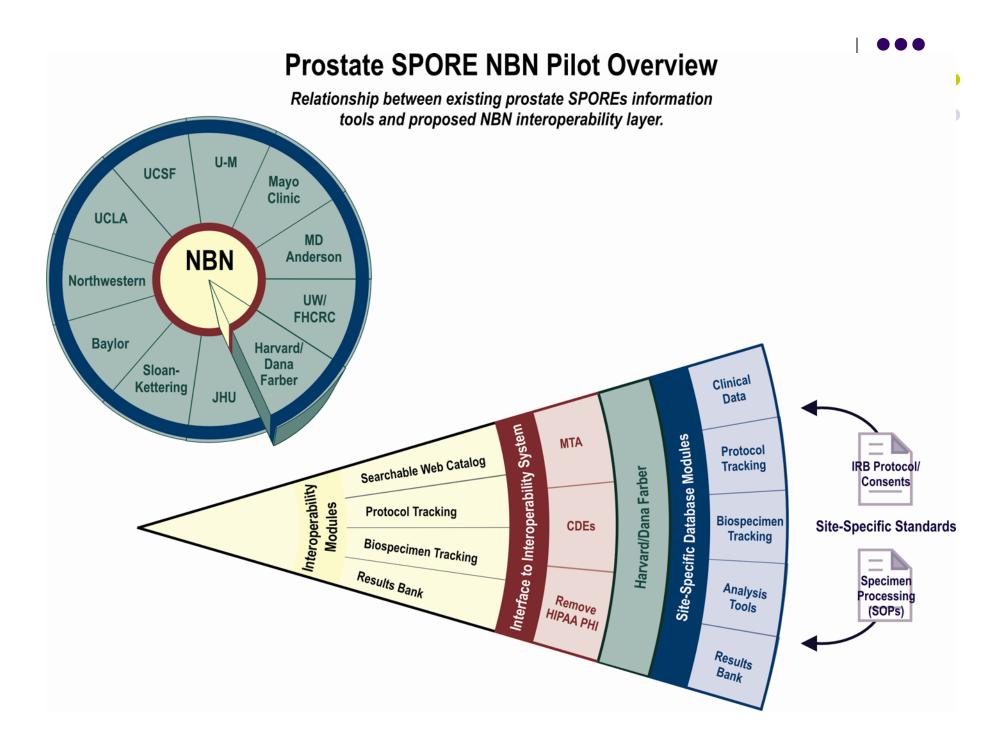


- Specimen Tracking
 - Specimen CDEs
 - Exact location and amount
 - Processing/handling (chronological)
 - Status (reserved/published to NBN)
 - Shipments sent/received
 - Laboratory
 - Responsible contact
 - Dates / times

Site-Specific IPBS / NBN Informatics Requirements, III



- Analysis tools
 - Laboratory specific
 - General
 - Pathology focus
 - Bioinformatics focus
- Results bank
 - Index by gene, protein, patient
 - Curated



Interface to NBN: Privacy and Security Issues

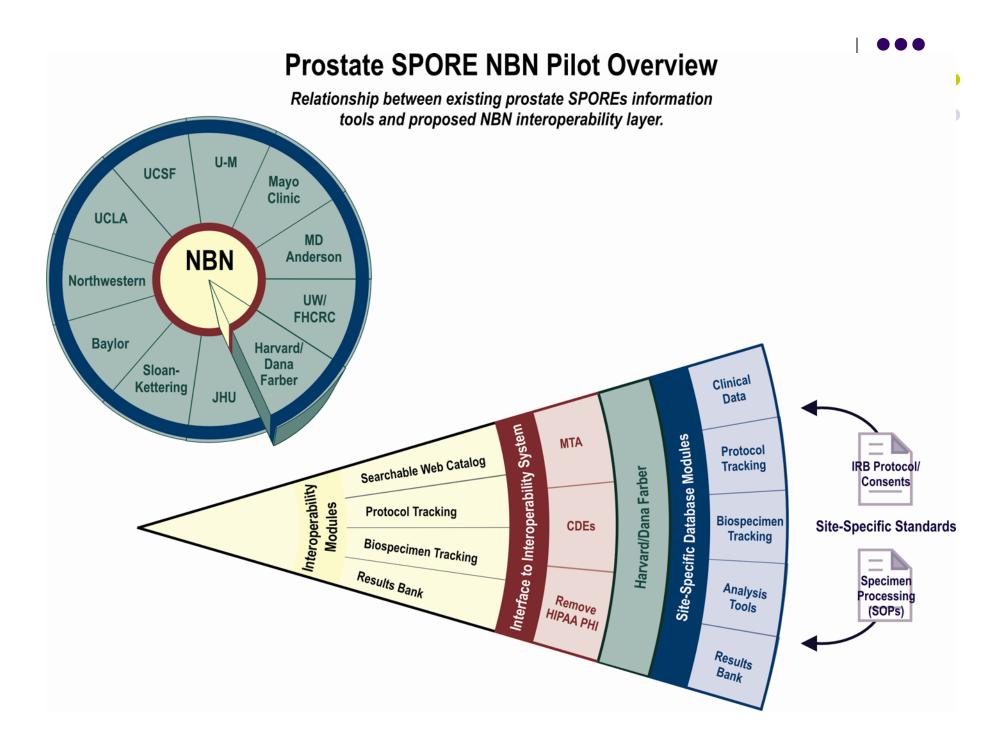


- Remove HIPAA Protected Health Information
- Dataset specific case identifiers
- Relative dates
- Maintain linking file at local site

Interoperability Modules IPBS / NBN Requirements



- Searchable specimen catalog
- Protocol tracking
 - Accruals
 - Progress
- Biospecimen tracking
 - Distributions
- Results bank
 - Submissions / updates
 - Searches







8:20am - 8:30am

IPBS / NBN Informatics Needs and Vision

8:30am - 9:00am

- Interoperability Standards, NBN and caBIG
 - John Speakman, MSKCC
 - Mark Adams, Booz Allen Hamilton
 - Sharon Settneck, SAIC

9:00am - 10:00am

Informatics System Presentations

Informatics System Presentations Overview

Paul Fearn

Memorial Sloan-Kettering Cancer Center



Site-Specific Module Relationships

Research Patient Clinical

Clinical Data

- Urology
- Radiation Oncology
- Medical Oncology

Longitudinal Followup Quality of Life Surveys

Lab Specimen Tracking

Tissue Specimen Tracking

Frozen Specimens

Protocol Tracking/ Clinical Trial Management

Orders

Laboratories

- Pathology
- Radiology
- Electronic Medical Records

Demographics
Surgical Procedures

Clinical Chemistry

Clinical Pathology

Paraffin Archives

Analysis Tools

- Imaging
- FISH
- IHC
- CGH

Tissue MicroArray

Quantitative Results Bank

Human Bioinformatics Sequences

Structure Reporting

Clinical Genetics

(Pedigree/Family History)



Informatics System Presentations



- Overview
 - Understand systems
 - Identify expertise / resources
 - Consider systems relative to IPBS / NBN scope
- 12 minutes to present
- 3 minutes Q & A
- Alphabetical order

Memorial Sloan-Kettering Cancer Center

Paul Fearn, Caisis John Speakman, CRDB



Caisis Overview

www.caisis.org

- Project Goals
- CDEs
- Design
- Project History
- Version 2.2
- CaisisDB
- Version 3.0
- Next Steps



Caisis Project Goals

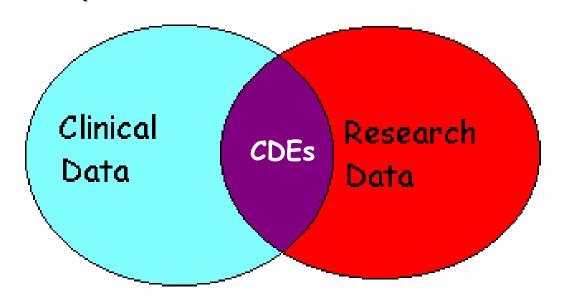


- Integrate research with clinical practice
- Reduce user bias built into system
- Integrate disciplines / departments
- Facilitate inter-institutional collaboration
 - Open source development
 - Open source license

Prostate Cancer Common Data Elements



A chronological patient history composed of common data elements (CDEs) for clinical practice and research



Prostate Cancer Common Data Elements*



- Patient's demographics: MRN, DOB, Ethnicity, Family Hx.
- All relevant biochemical markers
- All physical exam (DRE) results
- AJCC/UICC Clinical Tumor Stage by physician
- All relevant biopsies with pathologic findings
- All relevant diagnostic imaging studies and findings
- All relevant radiation therapy
- All relevant surgical procedures with pathologic findings
- All relevant medical therapy and concomitant meds
- All quality of life assessments (urinary/sexual/bowel function)
- Vital status by date

^{*}Cancer Location, Volume, Aggressiveness and Treatments over time.

Caisis Data Entry Design

- Integrated, chronological CDEs
- Less interpretation/calculation
 - Selecting baseline values
 - Calculating outcomes, progression
- Partial dates (mm/yyyy) and fuzzy values (<)
- Data quality
- Notes / comments
- Justify blank fields
 - unknown, not available
 - not interpretable, not obtainable
- Full auditing
- Record locking by users



Vocabulary Control Design

0° of freedom

- Check box, radio buttons,
- Select box

1° of freedom

Combo box, fixed list

2° of freedom

- Combo box, fixed list
- Review new items

3° of freedom

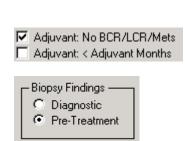
- Combo box, fixed list
- User can add new items

4° of freedom

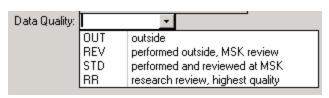
- Combo box
- List items from table

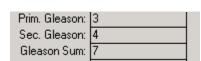
5° of freedom

Text box









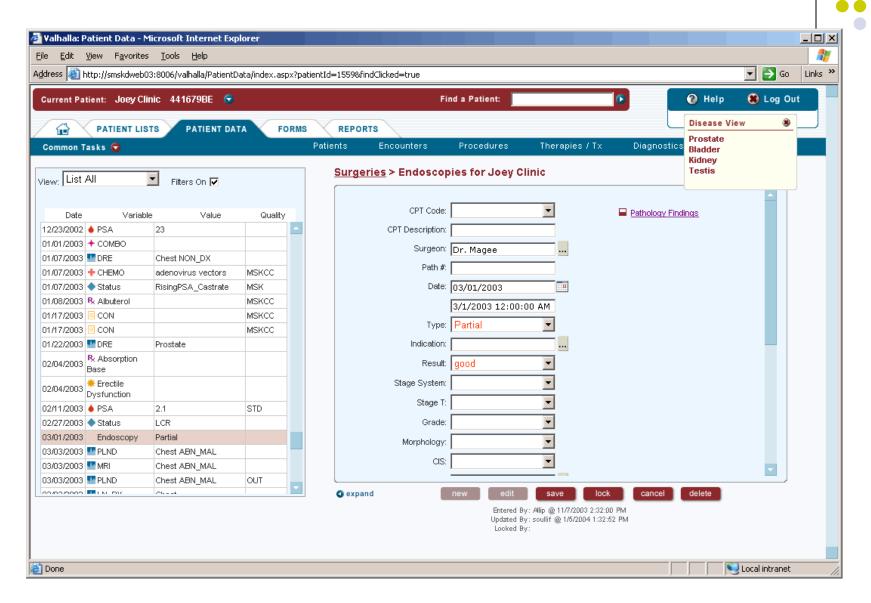


Caisis Project History

- Microsoft Access
 - 1999 ProstateDB 1.0
 - 2000 PRDB / Prostabase
 - 2003 ProstateDB 1.2 (10 institutions)
- ColdFusion / SQL Server
 - 2002 Valhalla 1.0 1.1
 - 2003 Valhalla 1.2 (3 institutions)
- Microsoft.NET
 - 2004 Caisis 2.0 2.2
 - Integrated prostate, bladder, kidney, testis data
 - Billing/EMR compliant clinic forms
 - 2005 Caisis 3.0 under development



Caisis, Version 2.0 – 2.2



Caisis Clinical Templates

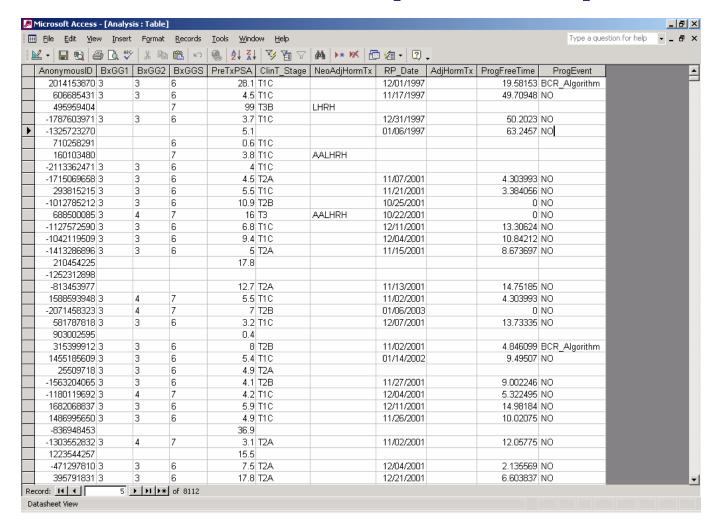
- Collect structured data for research
- Billing / clinical documentation
- Populated
- HPI algorithms
- Data feeds from institutional systems
 - Labs
 - Demographics
 - Appointments

CaisisDB Data Export Control Panel



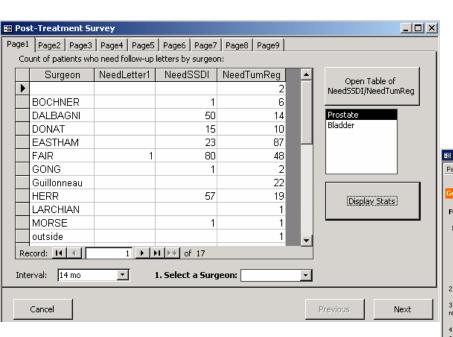
Patient Selection Progression Clock BCR / DT Options Privacy Start Clock Dx Biopsy Post RP BCR Post RP XRT (Salvage XRT) XRT Start Date Post XRT BCR XRT Stop Date Post XRT RP (Salvage RP)	Patient Selection Progression Clock BCR / DT Options Privacy / Export File Browse Browse Value Browse Value Data Exploration C Data Review Preparatory to Research Data Review Preparatory to Research C Research Data Analysis C Data Use Agreement C Da	col)
Rank Stop Clock (ProgEvent) Total Foliation	Post Brachy XRT Post Brachy Horr Post Brachy Che Dataset Name: Export Segments Table Export Data Tables Load Previous Settings Extend Clock Export File Folder: Export File Name: Dataset Name: Export File Folder: Export File Name: Dataset Name: Export File Folder: Export File Name: Dataset Name: Export File Folder: Export File Name: Dataset Na	
3	Patient Selection Progression Clock BCR / DT Options Privacy / Export File Browse Values Biochemical recurrence (BCR) definition Num PSA Rises: 1 PSA Threshold: 0 Last rise over: 0 Total PSA Rise: 0 Absolute BCR Levet: 100 Rounding: Rise over nadir:	

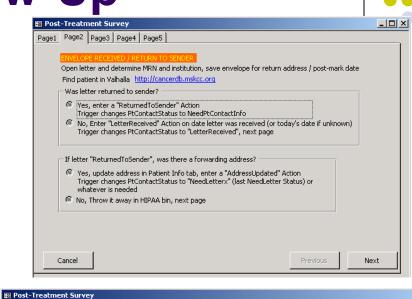
CaisisDB Data Export Output





CaisisDB Longitudinal Follow-Up







Caisis, Version 3.0

- Electronic forms for physicians
- Protocol tracking

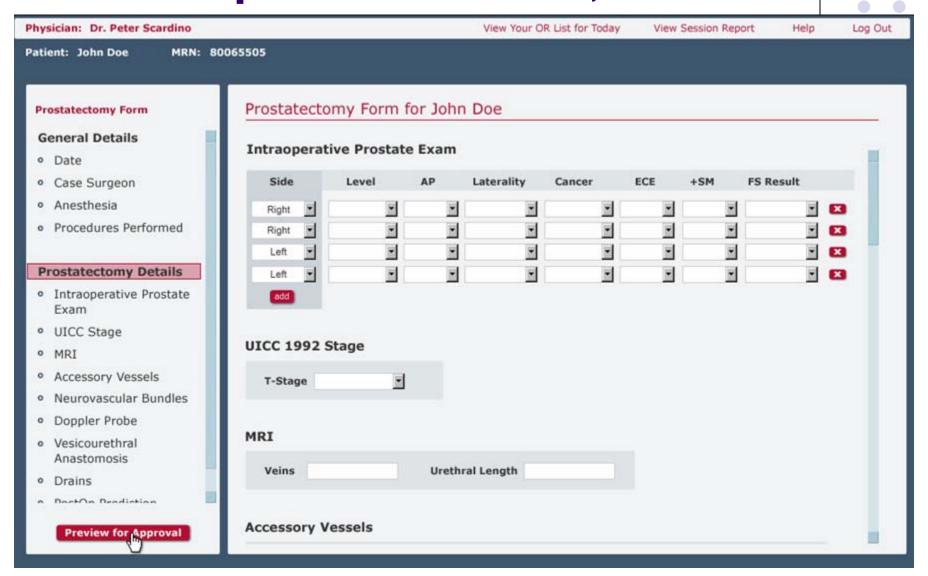




hysician: Dr. Peter Scardino			View Your OR List	t for Today View	v Session Report	Help Lo
atient: John Doe MRN: 8	0065505					
	1	01 32 32 42 42 6				
Prostatectomy Form	Prostatectomy I	Form for John	Doe			
General Details						
o Date	OR Date 09/	/15/2004				
Case Surgeon	1					
Anesthesia						
Procedures Performed	Case Surgeon	Dr Peter Scard	ino			
Desetatestamu Detaile						
Prostatectomy Details	Anesthesia					
Intraoperative Prostate Exam						
UICC Stage	ASA Score		Anesthesia Type	General Epid	fural Combined	
MRI	-					
Accessory Vessels		70.08700				
Neurovascular Bundles	Procedures Perfo	ormed				
Doppler Probe	Procedure	Surgeon	Sugeon Type	Assistant	Assistant Type	17
Vesicourethral	Prostatectomy	P. Scardino	Attending •	F. Bianco	Fellow 💌	
Anastomosis		٧			•	
Drains	<u> </u>	٠	_			
n DantOn Dradiation		٠		_		



Caisis Operative Details, II



Caisis Operative Details Note



pg1

Physician: Dr. Peter Scardino View Your OR List for Today View Session Report Help Log Out

Patient: John Doe MRN: 80065505

OR Report for 11/01/2004 Patient: John Doe

The text contained within this document has been generated based on data you have entered for this surgery. It is the physician's responsibility to review the text for correctness etc etc.

Click on a sentence / comment to edit the data.

Comments you previously entered are editable here.

· Go Back

Approve This Form & Print for Signing

Preview: OR Report for John Doe

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Aenean lectus odio, tristique nec, ultrices non, ornare quis, nulla. Praesent magna. Proin enim wisi, dapibus ut, volutpat id, viverra eu, mauris. Cras vel lorem id nunc vulputate tempor. Vestibulum venenatis scelerisque pede. Vestibulum accumsan. Cras nec ipsum sit amet ligula tristique lobortis. Ut est est, tristique at, vehicula sit amet, pharetra eu, diam. IntraOp examination showed Prostate Cancer definitely present.

Nullam est ante, fermentum et, sodales sed, hendrerit vel, lorem. Vestibulum orci. Aenean mollis. Mauris fringilla, wisi ut eleifend vulputate, pede diam lacinia urna, sed mattis dolor arcu vel est. Aliquam tempus nonummy eros. Sed magna lacus, egestas ac, imperdiet ut, facilisis mattis, est. Nullam vitae lacus.

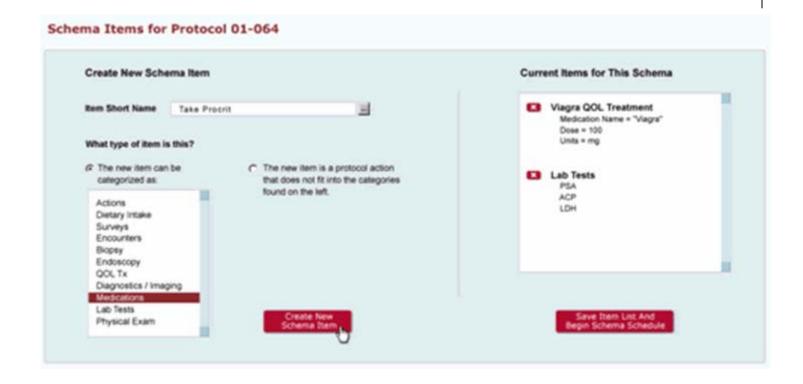
Comments

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Donec nisl. Neurovascular Bundles status rated as 46 (out of 100). Mauris ornare rutrum augue. Phasellus consectetuer, enim consequat gravida convallis, leo elit laoreet ligula, nec vehicula est magna at dolor. Donec erat. Cras commodo augue in tortor. Duis ut leo. Donec fermentum mauris id nisl. Donec elementum. Nam nec Click to edit. Dest orci, ornare nec, interdum pharetra, molestie et, nunc. Quality of Vesicourethrai Anastamos prated as 4 (out of 4) in the judgement of the surgeon. Nulla ac urna. In vel lorem a elit Diquet elementum. Class aptent taciti sociosqu ad litora torquent per conubia nostra, per inceptos hymenaeos. Vivamus neque ligula, interdum non, porttitor eget,



Caisis Protocol Schema Items







	Timeline						
	Week 1	Week # 2	Week 3	Vites # 4	Week # 5	Week 💆 6	
Schema items	± 3 Days	± 3 Days	± 3 Days	± 3 Days	± 3 Days	± 3 Days	
Medical History	P	P		P	P	P	
Physical Exam	P	P	P	P	P		
Vital Signs							
Height.	P		P	P	P	P	
Adverse Event Evaluation	Г	П	Г			П	
PSA	P	P		P	P	P	
ACP	0					П	
LDH	P	-	P	P	0	P	
WBC					-		

Caisis Protocol Calendar



Sunday	Monday	Tuesday	Wednesday	Thursday	Frid	ay .	Saturday			
	1	2	3	4	S Herve Box	rjeau	•			
,	Solve Doe William Jersey Edgar Poe		10 John Cack	11 Geoffrey Jack Zoe Miligan	12		13			
14	Joseph Stalin	16 Jery Bladderman End Livinda Shelley Locke Eduard Minerza Don Umbers	17	1.8 Geoffrey Jack Zoe Hilligan	19 Ruben Herced Sally Chiu		Ruben Herced Sally Chiu Hoogg Bordsala			
		-	-		No.	Not Yet	Scheduled, Allowable			
21	22 Edgar Poe	Brett Astman	24 John Cack	25	1000	Date Range is highlighted.				
		John Dod	and the same of th	Humby Hill flodiny Noshan	Joney Etd. Club to		r more info			
		Paul Sherman	Vanetusa Johnson		Carlston to Brock Lar Jackie Vo	iders.				
28	29	30								
	Eyroe Punkard Mallory West	John Dillinker Josh Month Derek Warsck								



Caisis Protocol Visit Checklist



lonth 6 for Protocol 01-064 cheduled for 11-08-2004		View Previous Visit View / Schedule	Next Visit
Schema Items for Honth 6			
Event	Date	Check off Items as they occur to cre	of a fibra
P Physical Exam	11-08-2004	corresponding pending records in the You may enter data for a checked in	e database.
Vital Signs	11-08-2004	clicking on the link or by using the si workflow below.	
P Weight	11-08-2004	and the period	
Performance Status	11-08-2004		
CBC w/diff, pits	11-08-2004	Enter Data Via Workflow	
F PT/INR	11-08-2004		
Serum Chemistry	11-08-2004		
Adverse Event Evaluation	11-08-2004		
P PSA	11-08-2004		

Next Steps for Caisis



- Data model improvements
 - Meta-data fields
 - Procedures section
 - caBIG compatibility
- Specimen tracking (UW/FHCRC)
- Better distinguish clinical and research data
- Electronic clinic forms (for physicians)
- "Intelligent" data entry
- Automated longitudinal follow-up

MSKCC Patient Web Forms



- Quality of life questionnaires
- IPSS / IIEF
- Longitudinal follow-up
- Medications
- Allergies
- Comorbidities
 - PMH
 - PSH

Acknowledgements

MSKCC

- Paul Alli Database Administrator (DBA)
- Jason Fajardo Graphic Designer / Programmer
- •Paul Fearn Project Leader
- •David Kuo Network, Hardware, Software Support
- •Kevin Regan Project Manager / Programmer
- •Frank Sculli Lead Developer
- •Hee Song Seo Data Feeds, Data Output Manager
- •Mark Snyder Data Model Design
- •Kinjal Vora Data Entry Manager
- •Brandon Smith Programmer

UW/FHCRC

- Derek Walker Project Manager
- •Mike Bauer Programmer / Project Manager
- •Scott Koon Lead Programmer
- •Ric Johnston Project Manager
- Agnes Gawne Data Manager
- Bret Dodson Technical Writer

Baylor

Leonor Guarata – Data / Project Manager

Marlin Mixon -Programmer

Jimmy Xie – Database Administrator (DBA)

New York Prostate Institute / Haliard

Louis Potters, MD – President

Frank Sculli – Lead Programmer

Sally Yang – Programmer

Kevin Regan – Project Manager



Physicians: Peter Scardino, Howard Scher, Michael Kattan, Victor Reuter, Louis Potters, Urology and GU/Medicine Faculty and Fellows, University of Washington / FHCRC (Tia Higano, Paul Lange,) Baylor College of Medicine (Kevin Slawin, Seth Lerner) et al.

Memorial Sloan-Kettering Cancer Center

Paul Fearn, Caisis John Speakman, CRDB



12:45pm to 1:15pm

Partnerships Working Groups

Mark Rubin, Dana Farber Paul Fearn, MSKCC



Inter-SPORE Partnerships



- Purpose
 - Reduce duplication of effort
 - Reduce complexity of integration
 - Vocabulary
 - Fields
 - Interfaces
 - Isolate organizational culture effects
 - Reduce development costs
- Information systems focus

Informatics Partnerships



- Existing
 - MSKCC and UW/FH
 - UM and DF
 - PCCTC
- Potential
 - Who?
 - Why?
 - Next steps?

1:15pm to 1:45pm

IPBS / NBN Use Cases

Paul Fearn, MSKCC



Use Case 1... Prospective IPBS, New Patient



- Upload IRB documents, SOPs, MTA
- Check eligibility
- Enter clinical CDEs
- Enter specimen CDEs
- De-Identify CDEs and transmit to NBN
- Ship specimens to central lab
 - Attach MTA
- Receive specimens at central lab
- Process specimens at central lab
 - Enter specimen CDEs
- Ship specimens to SPORE sites

Use Case 1 Prospective IPBS, New Patient



- Receive specimens at SPORE site
 - Download specimen CDEs
- Process and analyze specimens
 - Enter specimen CDEs
 - Enter analysis results
- Upload analysis results
- Analyze compiled results
 - Download clinical and specimen CDEs
 - Download analysis results from results bank

Use Case 2... Prospective IPBS, New Specimen



- Enter new clinical CDEs
- Enter new specimen CDEs
- Ship specimens to central lab
 - Attach MTA
- Receive specimens at central lab
- Process specimens at central lab
 - Enter specimen CDEs
- Ship specimens to SPORE sites

Use Case 2 Prospective IPBS, New Patient



- Receive specimens at SPORE site
 - Download specimen CDEs
- Process and analyze specimens
 - Enter specimen CDEs
 - Enter analysis results
- Upload analysis results

Use Case 3... Retrospective IPBS



- Search the catalog of available specimens
 - 200 RP and 200 XRT
 - 5 years longitudinal follow-up
- Select specimens to request
- Request specimens (min 50 per site)
- Review and approve requests at each site
- Check for complete CDEs
- Ship samples

Use Case 3 Retrospective IPBS



- Receive specimens
 - Enter specimen CDEs
- Process and analyze specimens
 - Enter specimen CDEs
 - Enter analysis results
- Upload analysis results
- Download compiled assay results
- Analyze and publish compiled results

Use Case 4 NBN Retrospective Project



- Search NBN pilot specimen catalog
- Select items to request
- Save session
- Resume session
- Request specimens
 - Submit project description
- Review request (Governance)
- Approve or deny request
- Check for CDEs
- Ship approved specimens

Use Case 5 New Prospective Protocol



- Search published clinical CDEs on specimen catalog
- Save search criteria by user
- Submit prospective study proposal
 - Research question and methods
 - Indicate preferred institutions
 - Indicate required resources
- Review proposal (Governance)
- Approve or reject proposal

Use Case 6 Publishing Specimens to NBN



- Log onto NBN web site
- Indicate which sample to publish
- Authorize publishing of available samples
 - Terms of use
 - Include banked but not generally available?
- Enter CDEs
 - Minimal clinical CDEs
 - Minimal specimen CDEs
- Upload IRB consent, MTA, SOP if new
- Change status of published specimens

Path Forward

Mark Rubin, Dana Farber Paul Fearn, MSKCC



Next Steps

- Features / modules
 - Specimen tracking
 - Queries / reports / dataset production
- Standards / caBIG
 - CDEs / data dictionaries / vocabularies
 - Legal, IRB, documentation
 - Ownership
 - Privacy / security
 - Results data

Action Items & Timeline

- Specific action items
- Estimate of timeline
- Dependencies
- "Bottlenecks"
- Test cases

Discussion starters



- Data sharing project report on series
- Real points of project connection with caBIG